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August 11, 2005

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RE:

10/804,814 UC03-084-3

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I hereby certify that the enclosed:

1. STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT (1 PAGE)

2. INFORMATION DISCLOSURE STATEMENT BY APPLICANT (FORM PTO/SB/08A) (1 PAGE)

are being facsimile transmitted to the United States Patent and Trademark Office (Fax No. 571-273-8300) on 11 August 2005.

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| STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING<br>INFORMATION DISCLOSURE STATEMENT  |                             |  |                        | Docket No.<br>UC03-084-3 |  |  |
|--|-----------------------------|--|------------------------|--------------------------|--|--|
| In Re Application Of: ANDREW A. FRANK  |                             |  |                        |                          |  |  |
| Application No.<br>10/804,814  | _                           |  | Group Art Unit<br>3681 | Confirmation No.         |  |  |
| Invention: METHOD AND SYSTEM FOR CONTROLLING RATE OF CHANGE OF RATIO IN A CONTINUOUSLY VARIABLE TRANSMISSION   |                             |  |                        |                          |  |  |
|  | RECEIVED CENTRAL FAX GENTER |  |                        |                          |  |  |
| COMMISSIONER FOR PATENTS: AUG 1 1 2005   |                             |  |                        |                          |  |  |
| This is a statement  | under the provision         | s of 37 CFR 1.97(e) in the abov              | e-identified app       | olication.               |  |  |
| Check applicable s   | tatement herebelow          | :  |                        |                          |  |  |
| •  |                             | Statement Under 37 CFR 1.9                   | 97(e)(1)               |                          |  |  |
| Each item of information contained in the accompanying Information Disclosure Statement was first cited in<br>any communication from a foreign patent office in a counterpart foreign application not more than three<br>months prior to the filling of the Information Disclosure Statement.  |                             |  |                        |                          |  |  |
|  |                             | Statement Under 37 CFR 1.9                   |                        | _                        |  |  |
| □ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. |                             |  |                        |                          |  |  |
|  | Signature                   | <u>.                                    </u> | Dated: \$              | 11/05                    |  |  |
| Certificate of Mailing by First Class Mail   |                             |  |                        |                          |  |  |
| JOHN P. O'BANION, REG. NO. 33,201 O'BANION & RITCHEY LLP 400 CAPITOL MALL, SUITE 1550 SACRAMENTO, CA 95814 (916) 498-1010    Capitol Matter of the United States Postal Service with sufficient postage first class mall in an envelope addressed to "Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-14 [37 CFR 1.8(a)] on (Date)   |                             |  |                        |                          | s being deposited with<br>th sufficient postage as<br>seed to "Commissioner" |  |
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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known Application Number 10/804,814 Filing Date MARCH 19, 2004 First Named Inventor ANDREW A. FRANK Art Unit 3681 Examiner Name LEWIS, TISHA D. UC03-084-3 Attorney Docket Number

| Everales             | I Ana        | , , , , , , , , , , , , , , , , , , ,   |                                | DOCUMENTS  |   |
|----------------------|--------------|---|--------------------------------|--|---|
| Examiner<br>Initials | Cite<br>No.1 | Number-Kind Cade <sup>2 (V known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                      |              | <sup>US-</sup> 4584907                  | 04-29-1986                     | Niwa et al.  |   |
|                      |              | <sup>US-</sup> 6459978                  | 10-01-2002                     | Taniguchi et al.                                   |   |
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| FOREIGN PATENT DÖCUMENTS |  |  |                     |  |   |    |  |
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|                          | Cite<br>No.1                                 | Foreign Patent Document                      | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |    |  |
|                          |  | Country Code**Number * "Kind Code" (# known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T⁴ |  |
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| Examiner  | Dale       |  |
| Signature | Considered |  |
|           | COURINGIA  |  |

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Translation is attached. Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including galharing, preparing, and automitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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